| FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY 'S DOCKET NUMBER | | | | | | | | |
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| (REV. 10-2003) | C 475US02DCT | | | | | | | | |
| TRANSMITTAL LETTER TO THE UNITED STATES | U.S. APPLICATION NO. (If known, see 17 CFR LA | | | | | | | | |
| DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | 1777510002 | | | | | | | | |
| | 10: 7:0000 | | | | | | | | |
| INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | | | | |
| PCT/EP03/50081 March 26, 2003 | 3/29/2002; 4/10/2002; 4/11/2002; 4/15/2002; 5/16/2002 | | | | | | | | |
| TITLE OF INVENTION YORK Rehample stides and Rehamplides and Hose Thorner | | | | | | | | | |
| XCRF Polynucleotides and Polypeptides and Uses Thereof APPLICANT(S) FOR DO/EO/US | | | | | | | | | |
| Kristen Briggs, Deno Dialynas, John Lucas, Aaron Scalia | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/ | US) the following items and other information: | | | | | | | | |
| 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 37 | 1. | | | | | | | | |
| 2. This is a SECOND or SUBSEQUENT submission of items concerning a fil | ng under 35 U.S.C. 371. | | | | | | | | |
| 3. This is an express request to begin national examination procedures (35 U.S. items (5), (6), (9) and (21) indicated below. | C. 371(f)). The submission must include | | | | | | | | |
| 4. The US has been elected (Article 31). | ↓ | | | | | | | | |
| 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | ational Down | | | | | | | | |
| a. is attached hereto (required only if not communicated by the Interr | ational Bureau). | | | | | | | | |
| b. As been communicated by the International Bureau. | 00. (00.00) | | | | | | | | |
| c. is not required, as the application was filed in the United States Re | | | | | | | | | |
| 6. An English language translation of the International Application as filed (35 | U.S.C. 371(c)(2)). | | | | | | | | |
| a. is attached hereto. | | | | | | | | | |
| b. has been previously submitted under 35 U.S.C. 154(d)(4). | 10 (07 11 0 0 0714) (0)) | | | | | | | | |
| 7. Amendments to the claims of the International Aplication under PCT Article | | | | | | | | | |
| a. are attached hereto (required only if not communicated by the Inte | rnational Bureau). | | | | | | | | |
| b. have been communicated by the International Bureau. | | | | | | | | | |
| c. have not been made; however, the time limit for making such ame | ndments has NOT expired. | | | | | | | | |
| d. have not been made and will not be made. | • | | | | | | | | |
| 8. An English language translation of the amendments to the claims under PCT | Article 19 (35 U.S.C. 371 (c)(3)). | | | | | | | | |
| 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (unsigned). | | | | | | | | | |
| 10. An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | | | | | |
| 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | | | | |
| 12. An assignment document for recording. A separate cover sheet in compliant | ance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | |
| 13. A preliminary amendment. | . A preliminary amendment. | | | | | | | | |
| 14. An Application Data Sheet under 37 CFR 1.76. | 14. 🗹 An Application Data Sheet under 37 CFR 1.76. | | | | | | | | |
| . A substitute specification. | | | | | | | | | |
| A power of attorney and/or change of address letter. | | | | | | | | | |
| 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. | | | | | | | | | |
| 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 9. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 20. Other items or information: copy of front page of the published PCT | application | | | | | | | | |
| <i>s</i> , | A | | | | | | | | |
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| U.S. APPLICATION PORT Some Some LOUIS U | ATTORNEY'S DOCKET NUMBER G-175US03PCT | | | | | |
|---|---|---|--------------------------------------|---------------|--|--|
| 21. The following fees are submitted | ed: | | CALCULATIONS | PTO USE ONLY | | |
| BASIC NATIONAL FEE (37 CFR 1.49 | | | | | | |
| Neither international preliminary exam nor international search fee (37 CFR 1, and International Search Report not pre | 445(a)(2)) paid to USPTO | \$1080.00 | | | | |
| International preliminary examination to USPTO but International Search Repo | fee (37 CFR 1.482) not paid to rt prepared by the EPO or JPC | \$920.00 | | | | |
| International preliminary examination to but international search fee (37 CFR 1. | fee (37 CFR 1.482) not paid to 445(a)(2)) paid to USPTO | USPTO \$770.00 | | | | |
| International preliminary examination to but all claims did not satisfy provisions | fee (37 CFR 1.482) paid to US of PCT Article 33(1)-(4) | SPTO \$730.00 | | | | |
| International preliminary examination and all claims satisfied provisions of Po | | | | 1 | | |
| ENTER APPROPRIA | TE BASIC FEE AMO | UNT = | \$920.00 | | | |
| Surcharge of \$130.00 for furnishing the months from the earliest claimed priority | | | | | | |
| CLAIMS NUMBER FILED | NUMBER EXTRA | RATE | \$ | | | |
| Total claims 20 - 20 = | . 0 | x \$18.00 | \$0.00 | | | |
| Independent claims 7 - 3 = | | x \$86.00 | \$344.00 | | | |
| MULTIPLE DEPENDENT CLAIM(S) (| | + \$290.00 | \$0.00 | | | |
| | L OF ABOVE CALCU | | \$1.264.00 | <u> </u> | | |
| Applicant claims small entity status are reduced by 1/2. | \$0.00 | | | | | |
| | | UBTOTAL = han | \$1,264.00 | | | |
| Processing fee of \$130.00 for furnishing months from the earliest claimed priority | \$0.00 | | | | | |
| | \$1,264.00 | | | | | |
| Fee for recording the enclosed assignme accompanied by an appropriate cover sh | \$0.00 | | | | | |
| | \$1,264.00 | | | | | |
| | | | Amount to be refunded: | \$ | | |
| | | | charged: | \$ | | |
| a. A check in the amount of \$ _ b. Please charge my Deposit According A duplicate copy of this sheet in | ount No19-0065 in | | sed. 264.00 to cover the | e above fees. | | |
| c. The Commissioner is hereby at overpayment to Deposit Account | thorized to charge any addition the No. <u>19-0065</u> . A duplic | onal fees which may be cate copy of this sheet | e required, or credit a is enclosed. | ny | | |
| d. Fees are to be charged to a cred information should not be inc | | | | | | |
| NOTE: Where an appropriate time I 1.137 (a) or (b)) must be filed and gra | | | net, a petition to revi | ve (37 CFR | | |
| CORRESPONDENCE ADDRES | SS: | . La | ruly (09.00. | ساله مرااه | | |
| | | SIGNATU | JIME COM | - WELLY | | |
| CUSTOMER NUMBE 23,557 | ER September | | ank C. Eisenschenk | | | |
| 20,007 | DATE | | | | | |
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10/510002 DT03 Rec'd PCT/PT0 30 SEP 2004

Patent Application Docket No. G-175US03PCT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Kristen Briggs, Deno Dialynas, John Lucas, Aaron Scalia

Docket No.

G-175US03PCT

For

XCRF Polynucleotides and Polypeptides and Uses Thereof

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

CERTIFICATE OF MAILING BY EXPRESS MAIL (37 CFR §1.10)

| Express Mai | 1 No.:_ | ER | 876 | -7L | P010 | ZU | Dat | e of De | posit | : <u>Sept</u> | ember 29 | 9, 2004 |
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| I he | ereby | certify | that | the | attached | Trans | mittal | Letter | to | the | United | States |
| Designated/I | Elected | Office | (DO | /EO/U | JS), Prelir | ninary | Amen | dment, | App | licatio | on Data | Sheet, |
| cover page | of publ | ished in | ternat | ional | application | n, and | unexec | uted De | clara | ation | (37 CFR | §1.63) |
| and Power o | f Attor | ney, wit | h copi | ies as | required fe | or auth | orizatio | on for us | se of | Depo | sit Acco | unt No. |
| 19-0065, are | being | deposite | ed wit | h the | United Sta | ites Pos | tal Ser | vice "E | xpres | ss Ma | il Post O | ffice to |
| Addressee" | service | under 3 | 7 CFI | R §1. | 10 on the d | late ind | icated | above a | nd a | re add | lressed to | : Mail |
| Stop PCT, C | Commis | sioner f | or Pate | ents, l | P.O. Box 1 | 450, A | lexandı | ria, VA | 223 | 13. | | |
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| Name of per | rson ma | ailing pa | per | | | Sign | nature | | | | | |